

IN THE UNITED STATES RECEIVING OFFICE

Applicant : Beverly W. Burbaum et al.
Serial No. : 10/560,953
Filed : December 15, 2005
Title : PROCESSES FOR PREPARING 3-BENZAZEPINES

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

In response to the Notification of Missing Requirements mailed December 1, 2006 (copy enclosed), Applicant as a small entity submits herewith the following:

- ☐ Payment of the basic filing fee of \$0;
- ☐ Payment of the additional/multiple dependent claims fees of \$0;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration.
- ☒ The fees in the amount of \$65 and \$510 are being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization.
- ☒ Applicant is entitled to Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §§1.63 and 1.497;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☒ Other: Petition for 3-Month Extension of Time

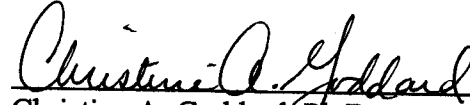
It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Applicant : Beverly W. Burbaum et al.
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Page : 2 of 2

Attorney's Docket No.: 20750-042US1 / 064.US2.PCT

Respectfully submitted,

Date: 4-25-07


Christine A. Goddard, Ph.D.
Reg. No. 46,731

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

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UNITED STATES PATENT AND TRADEMARK OFFICE

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(180140)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,953	Beverly W Throop	64.US2.PCT

INTERNATIONAL APPLICATION NO.

PCT/US04/19279

I.A. FILING DATE	PRIORITY DATE
06/16/2004	06/17/2003

CHRISTINE A. GODDARD PH.D
 COZEN O'CONNOR
 1900 MARKET STREET
 PHILADELPHIA PA, PA 19103

RECEIVED
 COZEN IP DEPT

DEC 06 2006
 DUE DATE 2-1-07
 MAX DATE 7-1-07
 DOCKETED BY A-T

CONFIRMATION NO. 1700

371 FORMALITIES LETTER



OC00000021436700

Date Mailed: 12/01/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/15/2005
- Copy of the International Search Report filed on 12/15/2005
- Copy of IPE Report filed on 12/15/2005
- Preliminary Amendments filed on 12/15/2005
- Information Disclosure Statements filed on 12/15/2005
- Request for Immediate Examination filed on 12/15/2005
- U.S. Basic National Fees filed on 12/15/2005
- Priority Documents filed on 12/15/2005
- Specification filed on 12/15/2005
- Claims filed on 12/15/2005
- Abstracts filed on 12/15/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$-480** for a Large Entity:

- **\$130** Surcharge.

(A previous payment of **\$610** will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,953	PCT/US04/19279	64.US2.PCT